



Valid XML Documents

- an XML document is called a *valid* one, if
 - it is well-formed and
 - the prologue of the document contains a document type declaration that again contains or refers to a document type definition (DTD); the xml document corresponds to the content and structure defined within the DTD; or
 - the XML document corresponds to the content and structure of an XML schema; the latter one existing as a separate file

What are the benefits of valid XML documents?



DTD Examples

- XHTML strict DTD
 - <http://www.w3.org/TR/2000/REC-xhtml1-2000126/DTD/xhtml1-strict.dtd>
- XML specification DTD
 - <http://www.w3.org/XML/1998/06/xmlspec-v21.dtd>
- Docbook XML DTD
 - <http://www.oasis-open.org/docbook/xml/4.2/docbookx.dtd>



The Document Type Declaration

- the document type declaration must be inserted between the xml declaration and the root element by using the `<!DOCTYPE>` tag
- the tag `<!DOCTYPE>` is an XML keyword and therefore has to be written in capital letters
- the correct syntax is `<!DOCTYPE name [DTD]>` for internal DTDs and `<!DOCTYPE name SYSTEM "filename">` for external DTDs where *name* has to be exactly the name of the document's root element and `SYSTEM` is an XML keyword too



Example: External / Internal DTD

- files_02/external_dtd_declaration.xml
- files_02/internal_dtd_declaration.xml

```
<?xml version="1.0" encoding="UTF-8"?>

<!-- Example for external DTD. -->
<!DOCTYPE movie SYSTEM "movie.dtd">
<!-- It doesn't matter whether there are any comments inserted before or after the DOCTYPE element or not. -->

<movie></movie>

<?xml version="1.0" encoding="UTF-8"?>

<!-- Example for internal DTD. -->
<!DOCTYPE movie
[
  <!ELEMENT movie ANY>
]
>
<!-- It doesn't matter whether there are any comments inserted before or after the DOCTYPE element or not. -->

<movie></movie>
```



Declaring Element Types

- within the XML document only element types declared in the DTD may be used, otherwise the validation will fail
- the correct syntax is `<!ELEMENT name specification>` where *name* is the element type
- allowed specifications are
 - EMPTY – element may not have content
 - `<!ELEMENT name EMPTY>`
 - ANY – element may include character data and other elements without limitations or even nothing at all
 - `<!ELEMENT name ANY>`
 - element content
 - mixed content



Element Content I

- defined as a sequence
 - the element contains subordinated elements in a specified, comma-separated sequence; others than the specified sequence will cause a validation error
 - `<!ELEMENT name (subelement1, subelement2, subelement3)>`
- defined as a selection
 - the element may contain one of the given subordinated elements
 - `<!ELEMENT name (subelement1 | subelement2 | subelement3)>`
- modifications
 - '?' – once the previous or no element
 - '+' – one or several of the previous elements
 - '*' – none or several of the previous elements
 - in specified order: `<!ELEMENT name (sub1?, sub2+, sub3*)>`
 - in unspecified order: `<!ELEMENT name (sub1 | sub2 | sub3)+>`



Element Content II

- What does it mean?

- `<!ELEMENT test (sub1+, sub2, sub3)>`
- `<!ELEMENT test (sub1, sub2, sub3)?>`

- Is that useful?

- `<!ELEMENT test (sub1 | sub2+ | sub3)>`

- Is that correct?

- `<!ELEMENT test (sub1* | sub2 | sub3)>`
- ...
- `<test />`

- Specify an element type that shall include in a certain order: sub1, sub2 and one of sub3, sub4 and sub5, where sub3 is optional.



Element Content III

- solution:
 - `<!ELEMENT test (sub1, sub2, (sub3* | sub4 | sub5))>`



Mixed Content

- only character data
 - `<!ELEMENT name (#PCDATA)>`
 - the XML keyword `#PCDATA` (parsed character data) means, that the XML processor parses the content of the specified element, looking for XML markup code; if you want to include character data that would be interpreted as being markup code, use `<![CDATA[]]>` sections for the element's content
- character data and subordinated elements
 - `<!ELEMENT name (#PCDATA, (sub1 | sub2)?)>`
 - this element must include character data and either the element `sub1` or the element `sub2` or no subordinated element



Declaring Attributes

- all attributes used within a valid XML document must be declared in the DTD
- the correct syntax is:
 - `<!ATTLIST element_name att_name att_type standard_declaration>`
- the ATTLIST contains all attributes for the corresponding element
 - `<!ATTLIST movie`
 - `category CDATA "horror"`
 - `year CDATA #REQUIRED>`



Attribute Types

- type character data → CDATA
- type token
- type enumeration

- see the file files_02/dtd_full.xml for examples



Type Token

- ID
 - the attribute must have a unique identifier for each element, the first character of the value may not be a number
- IDREF
 - the attribute refers to another element's attribute with type ID
- IDREFS
 - same as IDREF, but can refer to several other elements
- ENTITY
 - refers to a declared external unparsed entity
- ENTITIES
 - can refer to several declared external unparsed entities
- NMTOKEN
 - name token (letters, numbers, ., -, _, : (not as first character))
- NMTOKENS
 - several name tokens, divided by space



Type Enumeration

- by defining name tokens
 - the value of the attribute must be one of the given words within the brackets
 - `<!ATTLIST movie category (horror | fiction | documentation) #REQUIRED>`
- by defining a NOTATION
 - a notation must be defined in the DTD; it describes a (file) format or identifies a program that processes a certain format
 - empty elements may not contain a NOTATION
 - other elements may only contain one NOTATION
 - `<!ATTLIST document format NOTATION (HTML | DOC | RTF) #REQUIRED>`



Standard Declarations

- #REQUIRED
 - the attribute's value for the corresponding element must be specified, there's no pre-set value
- #IMPLIED
 - the attribute's value for the corresponding element may be specified, there's no pre-set value (the value is optional)
- *AttValue*
 - stands for a pre-set value which is used if no value is specified by the user
- #FIXED *AttValue*
 - only a pre-set value may be specified which is used either; this makes only sense as it increases the legibility of the XML document when creating it



Declaring Namespaces

- explicit and standard namespaces for a specific element are declared the following way (files_02/dtd_namespaces.xml):

```
<?xml version="1.0" encoding="UTF-8" standalone="yes"?>
<!-- filename: dtd_namespaces.xml -->

<!DOCTYPE collection
[
  <!ELEMENT collection ((item | cd:item)*)>
  <!ATTLIST collection
    xmlns CDATA #REQUIRED
    xmlns:cd CDATA #REQUIRED>
  <!ELEMENT item (title, author)>
  <!ELEMENT cd:item (cd:title, cd:interpret)>
  <!ELEMENT title (#PCDATA)>
  <!ELEMENT author (#PCDATA)>
  <!ELEMENT cd:title (#PCDATA)>
  <!ELEMENT cd:interpret (#PCDATA)>
]
v
```

```
<collection
  xmlns="http://myhomepage.com/books"
  xmlns:cd="http://myhomepage.com/cds">
  <item>
    <title>The Adventures Of Huckleberry Finn</title>
    <author>Mark Twain</author>
  </item>
  <cd:item>
    <cd:title>Selling England By The Pound</cd:title>
    <cd:interpret>Genesis</cd:interpret>
  </cd:item>
</collection>
```



Combining DTDs

- it is possible to combine external and internal DTDs
- if there exists an element, attribute, entity or notation which is declared in both internal and external DTD under the same name, only the internal declaration is used

- the correct syntax is:

```
- <!DOCTYPE name SYSTEM "filename.dtd"  
  [  
    <!ELEMENT test (#PCDATA)>  
    ...  
  ]  
>
```




`<![IGNORE [and <![INCLUDE [`

- for deactivating a block with markup code temporarily (e.g. when developing) use the XML keyword `<![IGNORE []]>`
- for activating a block with markup code temporarily (e.g. when developing) use the XML keyword `<![INCLUDE []]>`